



Purpose

With Nationwide ProAccount, you've made an important decision to allow professionals to manage your investment selection and asset allocation. If you would like to further personalize your investor profile with us, please complete the questionnaire below.

Participant Information

Plan Name and Plan Number (required): _____

Name (required): _____

Date of Birth (required): _____ SSN (required): _____ Phone: _____

Street Address (required): _____

City: _____ State: _____ Zip: _____

Email: _____

Plan Type

457 (b) 401 (a) 401 (k) 403 (b) Traditional IRA Roth IRA PEHP All Enrolled Plan Types

Questions

REQUIRED: please check a response for each of the fourteen questions.

1. Earning a return that allows my retirement savings to grow faster than the inflation rate (i.e. increased prices for goods and services) is one of my most important objectives.
 Strongly Agree (7 pts) Disagree (3 pts)
 Agree (5 pts) Strongly Disagree (1 pt)
 Neutral (4 pts)
2. How would you describe your investment knowledge?
 Above Average (7 pts)
 Average (4 pts)
 Below Average (1 pt)
3. I am willing to accept a potential short-term loss in exchange for a potential long-term gain.
 Strongly Agree (7 pts) Disagree (3 pts)
 Agree (5 pts) Strongly Disagree (1 pt)
 Neutral (4 pts)
4. What is your primary investment goal?
 Maximize growth by obtaining highest total return on investment (7 pts)
 Obtain modest growth (5 pts)
 Stable return on investment while preserving most of my invested capital (3 pts)
 Avoid loss of initial investment value; current income is very important (1 pt)
5. Which statement best describes your tolerance for risk?
 I am willing to accept substantial declines in portfolio value in order to achieve my investment goals (7 pts)
 I can accept some declines in value in order to achieve my investment goals (4 pts)
 I am not willing to accept any loss in portfolio value in order to achieve my investment goals (1 pt)

Risk Tolerance Scoring

For each of your responses to the five statements, please place the corresponding point value associated with your specific responses on the lines below:

1. _____ 2. _____ 3. _____ 4. _____ 5. _____ Total: _____

Scoring key:

Conservative: 5 – 15 points Moderate: 16 – 24 points Aggressive: 25 – 35 points

Authorization

By signing below, I acknowledge and understand that the personal information provided is correct and that I am aware that the information I have provided may be used by Nationwide Investment Advisors, LLC and the IFE to further personalize my investor profile, to the extent it is determined to be sufficiently reasonable and complete.

Participant Signature: _____ Date: _____

Form Return

Mail: Nationwide Investment Advisors, LLC
Nationwide ProAccount
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Columbus, OH 43218-2797

Fax: 877-677-4329
Email: proacct@nationwide.com

• Not a deposit • Not FDIC or NCUSIF insured • Not guaranteed by the institution • Not insured by any federal government agency • May lose value

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