

Participant Risk Tolerance Questionnaire

Nationwide ProAccount[®]
Nationwide Investment Advisors, LLC



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Last Name (Required)	First Name (Required)	Date of Birth (Required)	Home Phone #
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Participant Mailing Address (Required)	City	State	ZIP Code
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Social Security # or Tax ID (Required)	Plan Name (Required)
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Plan Type: 457(b) 401(a) 401(k) 403(b)

REQUIRED: please check a response for each of the five questions.

A. Earning a high total return that will allow my invested capital to grow faster than the inflation rate is one of my most important objectives.

- Strongly disagree (1 point) Agree (5 points)
- Disagree (3 points) Strongly agree (7 points)
- Neutral (4 points)

B. How would you describe your investment experience?

- Below average (1 point)
- Average (4 points)
- Above average (7 points)

C. I am willing to accept a potential short-term loss in return for a potentially higher long-term return.

- Strongly disagree (1 point) Agree (5 points)
- Disagree (3 points) Strongly agree (7 points)
- Neutral (4 points)

D. What is your primary investment goal?

- Maximize growth by obtaining highest total return on investment; current income is not a factor (7 points)
- Obtain modest growth (5 points)
- Stable return on investment while preserving most of my invested capital (3 points)
- Avoid loss of initial investment value; current income is very important (1 point)

E. Which statement describes most accurately your tolerance for risk?

- I am willing to accept substantial declines in portfolio value in order to achieve my investment goals (7 points)
- I can accept some declines in value in order to achieve my investment goals (4 points)
- I am not willing to accept any loss in portfolio value in order to achieve my investment goals (1 point)

Risk tolerance scoring: For each of your responses to the five statements, please place the corresponding point value associated with your specific responses on the lines below:

A. _____

B. _____

C. _____

D. _____

E. _____

Total (sum): _____

Scoring key:

- Conservative: 5 - 15 points
- Moderate: 16 - 24 points
- Aggressive: 25 - 35 points

Please provide any restrictions you may require on the management of your account.

Nationwide Investment Advisors, LLC (NIA) reserves the right to reject any investment restriction that NIA or the Independent Financial Expert deems unreasonable, in which case you will be provided the opportunity to modify your restriction or terminate your participation in the program.

Participant authorization:

By signing below, I acknowledge the personal information provided is correct and that I am aware of the investment style and risk associated with my new risk Profile.

(Once processed, this form will be returned to you to confirm your risk Profile model.)

Participant's Signature

Date

INTERNAL USE ONLY

New/current participant risk Profile model: _____

Processed by Nationwide Investment Advisors, LLC

Authorized Signature

Date

Mail or fax both pages to:

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Columbus, OH 43218-2797
Phone: 1-888-540-2896
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